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**Guidelines on participation in EU External Aid Programmes**

Welcomeurope



AGRICULTURE, RURAL DEVELOPMENT & FOOD SECURITY IN THE EU EXTERNAL AID INSTRUMENTS

Brussels, 13 June 2012

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PROGRAMME

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- 1- The EU External Aid Policies and Financial Assistance
- 2- The procurement guidelines
- 3- Anticipate and monitor the call for tenders

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PROGRAMME

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- 1-Introduction – The EU External Aid Policies and Financial Assistance
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**THE EU EXTERNAL AID POLICIES**  
**The Development Policy**

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★ The European Union is committed to achieving the Millennium Development Goals (MDG) drawn up by the United Nations (2001)



★ The European Consensus on Development (2006) identified 9 priority areas:

- ★ Trade and regional integration
- ★ Infrastructure (transport and communication)
- ★ Water and energy
- ★ Sustainable environmental management
- ★ Rural development, agriculture and food security
- ★ Governance, democracy, human rights and support for economic and institutional reforms
- ★ Preventing conflicts and fragile states
- ★ Human development (health, education)
- ★ Social cohesion and employment

**The European Consensus on Development**

Joint statement by the Council and the representatives of the governments of the Member States meeting within the Council, the European Parliament and the Commission on European Union Development Policy: 'The European Consensus'

2006/C 16/01

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**THE EU EXTERNAL AID POLICIES**  
**The European Neighbourhood Policy (ENP)**

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★ The European Union has a vital interest in seeing greater economic development, stability and better governance in its neighbourhood

★ The Communication "Strengthening the European Neighbourhood Policy" (2006) identified 7 priorities:

- ★ Enhancing the economic and trade component
- ★ Facilitating mobility and managing migration
- ★ Promoting people-to-people exchanges
- ★ Building a thematic dimension to the ENP
- ★ Strengthening political cooperation
- ★ Enhancing regional cooperation
- ★ Strengthening financial cooperation




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**THE EU EXTERNAL AID POLICIES**  
**The Human Rights Policy**

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★ "The European Union's Role in Promoting Human Rights and Democratisation in Third Countries" (2001)



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**THE EU EXTERNAL AID POLICIES**  
**The Strategic Partnerships**

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\* Some cooperations with countries/areas are considered as « strategic »:

- \* Africa
- \* Russia
- \* India
- \* China
- \* Japan
- \* South Korea
- \* South Africa
- \* Latin America and Caribbean
- \* Asia and Central Asia
- \* USA and Canada
- \* Pacific


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**THE EU EXTERNAL AID POLICIES**  
**Who is who?**

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- ✓ DG DEVCO-EuropeAid: EU development policy and external cooperation, under instruments EDF, DCI, ENPI, EIDHR, Nuclear Safety and thematic programmes
- ✓ FPIS (Foreign Policy Instruments Service): Instrument for Cooperation with Industrialised Countries (ICI), Stability Instrument, European Security Policy and EIDHR Missions Observation of Elections.
- ✓ DG Enlargement: EU Enlargement policy and management of IPA
- ✓ ECHO: Humanitarian policy and civil protection

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**THE EU EXTERNAL AID POLICIES**  
**Opportunities for the applicant**

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- ✓ ~160 Country Programmes
- ✓ 14 Regional Programmes
- ✓ 3 Interregional Programmes
- ✓ 29 Cross-border Cooperation Programmes
- ✓ 4 Sea Basin Programmes

- ✓ Democracy/Human Rights
- ✓ Food security/Agriculture
- ✓ Environment/Energy
- ✓ Human & Social Development
- ✓ Civil society
- ✓ Migration & Asylum
- ✓ Stability
- ✓ Nuclear Safety

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**THE EU EXTERNAL AID POLICIES**  
**The eligible countries to financial assistance**



The Instrument for Pre-accession Assistance (IPA)  
 2007-2013  
 (11.468 billion euro)

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**THE EU EXTERNAL AID POLICIES**  
**The eligible countries to financial assistance**



The European Neighbourhood and Partnership Instrument (ENPI) 2007-2013  
 (11.181 billion euro)

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**THE EU EXTERNAL AID POLICIES**  
**The eligible countries to financial assistance**



The 10th European Development Fund (EDF) 2008-2013  
 (22.682 billion euro)

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**THE EU EXTERNAL AID POLICIES**  
**The eligible countries to financial assistance**

**The Development Cooperation Instrument (DCI) 2007-2013**  
**(16.897 billion euro)**

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**THE EXTERNAL AID POLICIES**  
**Worldwide/Thematic Programmes**  
**Food security**

• 1,709 million euros (2007-2013)

• **Priorities and financed actions:**

- ✦ International Public Goods: Pro-poor and demand-driven research and technological innovation, primarily in agriculture:
  - ✦ Capacity development and training
  - ✦ Partnerships with EU research initiatives
- ✦ Global (and continental) programmes:
  - ✦ Food security information and early warning systems
  - ✦ Programme to support national Food Security Strategies and plans
  - ✦ Networking of experts and civil society organisations
- ✦ Advocacy and advancement of the global food security agenda

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**THE EXTERNAL AID POLICIES**  
**Worldwide/Thematic Programmes**  
**Environment**

• 804 million euros (2007-2013)

• **Examples of financed actions:**

- ✦ Capacity building for environmental integration in developing countries
- ✦ Supporting civil society actors and consultative platforms
- ✦ Environmental monitoring and assessment with data gathering
- ✦ EU initiatives for sustainable development as: EU Water Initiative, climate change, biodiversity, desertification, forests, illegal logging and forest governance, fisheries and marine resources, compliance with environmental standards (for products and production processes), sound chemicals and wastes management
- ✦ Sustainable production and consumption
- ✦ Poverty and the environment under new forms of aid delivery
- ✦ Developing institutional support and technical assistance
- ✦ ...

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**THE EXTERNAL AID POLICIES**  
**Worldwide/Thematic Programmes**  
**Thematic instrument Stability**

Priority 1 – European Community contribution to the Implementation of the EU Strategy against proliferation of Weapons of Mass Destruction

Priority 2 – Counteracting global and trans-regional threats

Priority 3 – Building capacity for effective crisis response

	2007-2013 € million	%
Crisis response and preparedness	1 587	77
...of which preparedness	100	5
Non-proliferation of WMD	266	13
Trans-regional threats to security	118	6
Administrative expenditure	91	4
<b>Total</b>	<b>2 062</b>	<b>100</b>

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**THE EXTERNAL AID POLICIES**  
**ECHO**

- ✓ Provide short-term emergency aid
- ✓ Stabilise the economic and social situation
- ✓ Facilitate the transition to long-term development in third countries

**Attention! ECHO uses specific means for its implementation!**

- ✓ **Framework agreements** with humanitarian NGOs, international organisations and the United Nations specialised agencies.
- ✓ Grant facility for NGOs and networks bringing added value to European humanitarian aid
- ✓ Projects related to trainings, studies and networking between humanitarian organisations

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**THE EXTERNAL AID POLICIES**  
**2014-2020 Proposed budget allocation**



ALL figures in constant 2011 prices: Total proposed budget 2014-2020	300 bn
of which	
Development Cooperation Instrument	420.0 bn
Peacekeeping instrument	42.5 bn
European Neighbourhood Instrument	40.0 bn
Partnership Instrument	4.0 bn
Instrument for Stability	42.5 bn
European Instrument for Democracy and Human Rights	41.0 bn
Common Foreign and Security Policy	42.5 bn
Humanitarian Aid Instrument	41.0 bn
Civil Protection and Emergency Response Capacity	41.0 bn
European Voluntary Humanitarian Aid Corps	41.0 bn
Instrument for Nuclear Safety Cooperation	41.0 bn
Macro-financial Assistance	41.0 bn
Overseas Fund for External Action	41.0 bn
Emergency Aid Reserve	41.0 bn
Total proposed budget under 11 EIR	500.0 bn

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**THE EU EXTERNAL AID POLICIES**  
**Programme management modes**

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- ✓ **Direct centralised:** Programme management is conducted by the European Commission (Brussels or Delegations)
- ✓ **Indirect centralised:** Transfer of responsibility from the EC to a national body, in most cases, the development agency (or equivalent) of an EU Member State
- ✓ **Decentralised:** Transfer of responsibility from the EC to the authorities of the beneficiary countries
- ✓ **Joint:** Transfer of responsibility from the EC to an International Organisation (UN, Mercosur, UEMOA, etc.)
- ✓ **Shared:** Transfer of responsibility to EU Member States (under ENPI/IPA CBC programmes)

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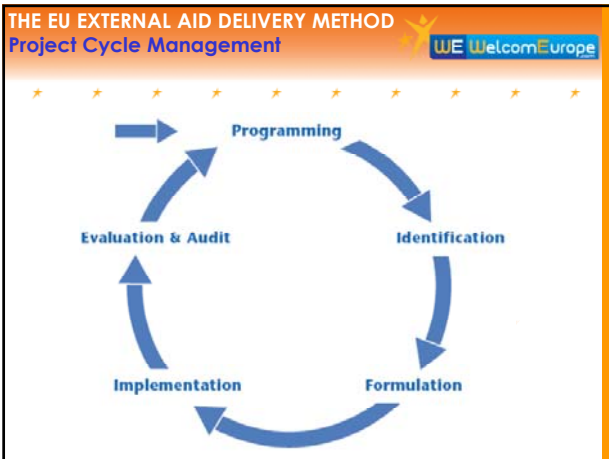
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**THE PROCUREMENT GUIDELINES**  
**What does the EU fund?**

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- ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★
- ✓ Studies (feasibility impact assessment, etc.)
- ✓ Technical assistance / capacity building
- ✓ Publication support
- ✓ Conferences / seminars
- ✓ Networks
- ✓ Evaluation / monitoring
- ✓ Supplies and equipment
- ✓ Civil works

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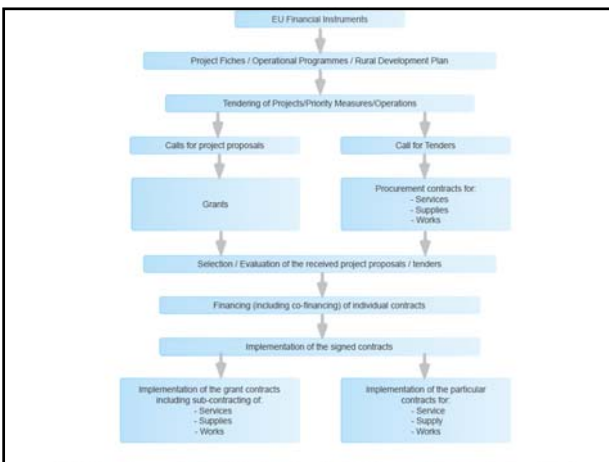
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**THE PROCUREMENT GUIDELINES**  
**Practical guide**

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**Difference between a public contract and a grant/**

- in the case of a **contract**, the Contracting Authority receives the product or service it needs in return for payment.
- in the case of a **grant**, it makes a contribution either to a project carried out by an external organisation or directly to that organisation because its activities contribute to EU policy aims.

Procurement procedures are governed by specific rules which vary depending on the **nature of the contract** (service, supplies, work) and the threshold.

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**THE PROCUREMENT GUIDELINES**  
**EU tendering**

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- Types of contracts
  - Services
  - Supplies
  - Works
- Types of tendering
  - Restricted
  - Call for expression of interest + restricted
  - Open tender

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**THE PROCUREMENT GUIDELINES**  
**Current financial threshold**

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Services	≥ €200.000 International restricted procedure	< €200.000 but > €10.000 1. Framework contracts 2. Competitive negotiated procedure		
Supplies	≥ €150.000 International open tender procedure	< €150.000 but ≥ 60.000 Local open tender procedure	< €60.000 but > €10.000 Competitive negotiated procedure	≤ €10.000 One single tender
Works	1. ≥ €5.000.000 Internal open tender procedure 2. International restricted tender procedure	< €5.000.000 but ≥ €300.000 Local open tender procedure	< €300.000 but > €10.000 Competitive negotiated procedure	

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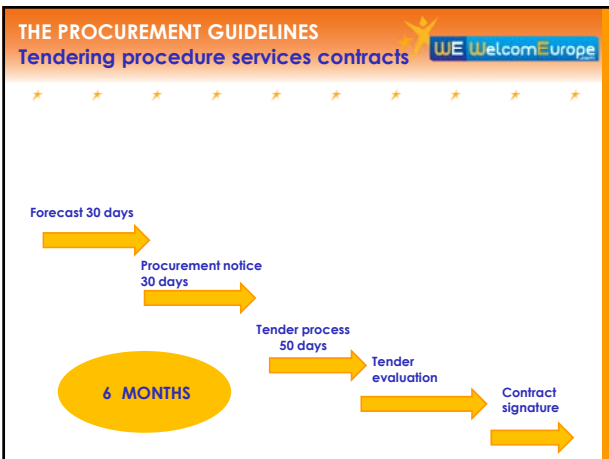
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**THE PROCUREMENT GUIDELINES**  
**Practical guide**

**WE WelcomEurope**

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**Practical Guide**  
 to  
**Contract procedures for EU external actions**  
 published on the EuropeAid web site in January 2012

**Part 3: Service contracts**

Answer 2012  
 PRAC/2012/001

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**1- The EU External Aid Policies and Financial Assistance**

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